

# Alfred M. Walker

Attorney at Law



January 29, 1999

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Commissioner of Patents  
BOX AMENDMENTS  
Washington, DC 20231

APPLICANT: William George Wilhelm  
SERIAL NO.: 08/820,496  
FILING DATE: March 19, 1997  
TITLE: HIGH EFFICIENCY LIGHTING  
EXAMINER: J. KAPLAN  
GROUP ART UNIT: 2836

RECEIVED

FEB 02 1999

Dear Sir:

GROUP 2100

Enclosed please find:

Amendment in Response to Final Office Action  
with check for \$420.00 for extra and independent  
claims. Utility Patent Application Fee Transmittal Form.  
Petition for Extension of Time with \$435.00 check.  
Certificate of mailing. (One Check totaling- \$855)

Please acknowledge receipt on the enclosed postcard.

Very truly yours,

A handwritten signature in black ink that reads "Alfred M. Walker".

Alfred M. Walker  
Reg. No. 29,983

PAT27

I certify that this correspondence is being deposited with the United States Postal Service as Express Mail No.: EL229821379US in an envelope addressed to the Commissioner of Patents, Washington, D.C. 20231 on the date indicated below.

Date: Feb. 02, 1999

A handwritten signature in black ink that reads "Alfred M. Walker". Below the signature, the name "Alfred M. Walker" is printed in a smaller, standard font.

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

**Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.**

**TOTAL AMOUNT OF PAYMENT (\$)** 855

## Complete If Known

Application Number	08/820,496
Filing Date	March 19, 1997
First Named Inventor	William George Wilhelm
Examiner Name	J. Kaplan
Group / Art Unit	2836
Attorney Docket No.	Nextek Lighting

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	23-0120
Deposit Account Name	Alfred M. Walker
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17	<input type="checkbox"/> Charge the issue fee set in 37 C.F.R. § 1.18 at the mailing of the Notice of Allowance

2.  Payment Enclosed:  
 Check     Money Order     Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	790	Utility filing fee	
106	330	Design filing fee	
107	540	Plant filing fee	
108	790	Reissue filing fee	
114	150	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>			

### 2. EXTRA CLAIM FEES

Total Claims	-20** =	12	x	9	=	108
Independent Claims	- 3** =	8	x	39	=	312
Multiple Dependent						

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	22	Claims in excess of 20
102	82	Independent claims in excess of 3
104	270	Multiple dependent claim, if not paid
109	82	** Reissue independent claims over original patent
110	22	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) (\$)</b>		420

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	60	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
118	400	Extension for reply within second month	
117	950	Extension for reply within third month	435
118	1,510	Extension for reply within fourth month	
128	2,080	Extension for reply within fifth month	
119	310	Notice of Appeal	
120	310	Filing a brief in support of an appeal	
121	270	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,320	Petition to revive - unintentional	
142	1,320	Utility issue fee (or reissue)	
143	450	Design issue fee	
144	670	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Stmt	
581	40	Recording each patent assignment per property (times number of properties)	
146	790	Filing a submission after final rejection (37 CFR 1.129(a))	
149	790	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			
Reduced by Basic Filing Fee Paid		<b>SUBTOTAL (3) (\$)</b>	435

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GROUP 2

## SUBMITTED BY

Typed or Printed Name	Alfred M. Walker	Complete (if applicable)
Signature	<i>Alfred M. Walker</i>	Reg. Number 29,983

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.